



25268

PATENT TRADEMARK OFFICE

2661 #2
BT
02-22-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Atlas et al. Attorney Docket No. UNIV0119
Serial No.: 09/938,119 Group Art Unit: 2661
Filed: August 22, 2001 Examiner:
Title: SCALABLE AND PERCEPTUALLY RANKED SIGNAL CODING AND
DECODING

INFORMATION DISCLOSURE STATEMENT

Bellevue, Washington 98004

December 28, 2001

RECEIVED

FEB 04 2002

Technology Center 2600

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Applicant is aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

- ☒ 1. Copies of the listed patents, publications, and other information are enclosed for the Examiner's use.
- ☐ 2. Copies of the listed patents, publications, and other information were previously cited by or submitted to the U.S. Patent and Trademark Office in prior application Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. § 120.
- ☐ 3. A concise explanation of the relevance of document I.D. No. _____ (which is not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided _____.
- ☒ 4. Pursuant to 37 C.F.R. § 1.97(b), this information disclosure statement is being filed within three months of the filing date of the national application, within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or before the mailing date of a first Office Action on the merits.
- ☐ 5. Pursuant to 37 C.F.R. § 1.97(c), this information disclosure statement is being filed after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, and is accompanied by:
- a. _____ a certification as specified in 37 C.F.R. § 1.97(e); or
- b. _____ the fee set forth in 37 C.F.R. § 1.17(p). Check No. _____ in the amount of _____ is enclosed.

LAW OFFICES OF RONALD M. ANDERSON

600 - 108th Avenue N.E., Suite 507

Bellevue, Washington 98004

Telephone: (425) 688-8816 Fax: (425) 646-6314

1 6. Pursuant to 37 C.F.R. § 1.97(d), this information disclosure statement is being filed
2 after the mailing date of either:

3 a. _____ a final action under 37 C.F.R. § 1.113; or

4 b. _____ a notice of allowance under 37 C.F.R. § 1.311,

5 but before payment of the issue fee. The statement is accompanied by a
6 certification as specified in 37 C.F.R. § 1.97(e), a petition requesting
7 consideration of the information disclosure statement, and the petition fee set
8 forth in 37 C.F.R. § 1.17(i)(1). Check No. _____ in the amount of
9 \$____ is enclosed.

10 X 7. Please charge any additional fees or credit any overpayment to Deposit Account
11 No. 01-1940. A copy of this sheet is enclosed.

12 Respectfully submitted,

13 *Ron Anderson*

14 Ronald M. Anderson
15 Registration No. 28,829

16 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed
17 envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner of Patents and
18 Trademarks, Washington, D.C. 20231, on December 28, 2001.

19 Date: December 28, 2001

20 *[Signature]*

INFORMATION DISCLOSURE STATEMENT LISTING SHEET

Information Cited By Applicant(s) That May Be Material To The Prosecution Of The Subject Application

Applicants: Atlas et al. Attorney Docket No. UNIV0119
Serial No.: 09/938,119 Group Art Unit: 2661
Filed: August 22, 2001
Title: SCALABLE AND PERCEPTUALLY RANKED SIGNAL CODING AND
DECODING

U.S. PATENT DOCUMENTS

NONE CITED

FOREIGN PATENT DOCUMENTS

NONE CITED

RECEIVED
FEB 04 2002
Technology Center 2600

OTHER INFORMATION

<u>*Examiner Initial</u>	<u>Document No.</u>	<u>Document Information</u>
_____	O1	Thomas Jim et al. (Undated) Human Computer Interaction with Global Information Spaces -- Beyond Data Mining. infoviz@pnl.gov , http://www.pnl.gov/infoviz
_____	O2	Noll, Peter. September 1997. MPEG Digital Audio Coding. <i>IEEE Signal Processing Magazine</i> 59-81.
_____	O3	Tanaka, Y. and H. Kimura. 1994. Low-Bit-Rate Speech Coding Using a Two-Dimensional Transform of Residual Signals and Waveform Interpolation. <i>IEEE I-173-I-176</i> .
_____	O4	Brandenburg, Karlheinz. and Gerhard Stoll. October 1994. ISO-MPEG-1 Audio: A Generic Standard for Coding of High-Quality Digital Audio. <i>J Audio Eng. Soc.</i> 780-792.

Examiner's Signature

Date

*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RMA:ssa